



Please type a plus sign (+) inside this box

Approved for use through 10/31/2000. OMB 0651-0032  
U S Patent and Trademark Office: U S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

06-92-01

PTO/SB/05 (08-00)

**UTILITY  
PATENT APPLICATION  
TRANSMITAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03528.0050.CNUS01

First Inventor Jürgen Kleinschmidt

Title Method for Purifying and Concentrating  
AAV-2 And Antigen Portions Thereof

Express Mail EL615208958US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status  
See 37 CFR 1.27
- Specification [Total Pages 9]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross reference to Related Applications
  - Statement Regarding Fed Sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents

Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on
    - i.  CD-ROM or CD-R (2 copies), or
    - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
16.  Other .Preliminary Amendment; Clean Version of Amended Paragraphs, Clean Version of all Pending Claims.....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/508,037, filed June 23, 2000

Prior application information Examiner U. Winkler Group I Art Unit 1648

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label **27194** or  Correspondence address below

Name	Howrey Simon Arnold & White, LLP				
Address	301 Ravenswood Avenue Box 34				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	650-463-8109	Fax	6590-463-8109

NAME (Print/Type)	Albert P. Halluin, Viola T. Kung	Registration No. (Attorney/Agent)	25,227, 41,131
Signature		Date	June 19, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

1033 U.S. PTO  
09/1886144

06/20/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

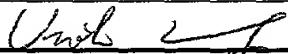
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$935.00)

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Jürgen Kleinschmidt
Examiner Name	Not Yet Assigned
Group Art Unit	1648
Attorney Docket No	03528 0050 CNUS01

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Acct. No <b>08-3038</b></p> <p>Deposit Account Name <b>Howrey Simon Arnold &amp; White, LLP</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Fee</th> <th>Entity Fee</th> <th>Small Fee</th> <th>Entity Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge – late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td>445.00</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>895</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="5">* Reduced by Basic Filing Fee Paid</td> <td><b>SUBTOTAL (3) (\$445.00)</b></td> </tr> <tr> <td colspan="8"> <p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20** =</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>16</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>- 3** =</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>135.00</td> <td>135</td> </tr> </tbody> </table> <p>3. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>355.00</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (1) (\$355.00)</b></p> <p>4. SUBTOTAL (2) (\$135.00)</p> <p>**or number previously paid, if greater; For Reissues, see above</p> </td> </tr> </tbody> </table>					Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid	105	130	205	65	Surcharge – late filing fee or oath		127	50	227	25	Surcharge – late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for ex parte reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month	445.00	118	1,390	218	895	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive – unavoidable		141	1,240	241	620	Petition to revive – unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						* Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3) (\$445.00)</b>	<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20** =</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>16</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>- 3** =</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>135.00</td> <td>135</td> </tr> </tbody> </table> <p>3. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>355.00</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (1) (\$355.00)</b></p> <p>4. SUBTOTAL (2) (\$135.00)</p> <p>**or number previously paid, if greater; For Reissues, see above</p>								Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid	16	0	0	0	0	Independent Claims	- 3** =	0	0	0	Multiple Dependent			135.00	135	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	355.00	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee	
Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid																																																																																																																																																																																																																																																													
105	130	205	65	Surcharge – late filing fee or oath																																																																																																																																																																																																																																																														
127	50	227	25	Surcharge – late provisional filing fee or cover sheet																																																																																																																																																																																																																																																														
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																														
147	2,520	147	2,520	For filing a request for ex parte reexamination																																																																																																																																																																																																																																																														
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																														
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																														
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																														
116	390	216	195	Extension for reply within second month																																																																																																																																																																																																																																																														
117	890	217	445	Extension for reply within third month	445.00																																																																																																																																																																																																																																																													
118	1,390	218	895	Extension for reply within fourth month																																																																																																																																																																																																																																																														
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																																																																																														
119	310	219	155	Notice of Appeal																																																																																																																																																																																																																																																														
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																																																																																														
121	270	221	135	Request for oral hearing																																																																																																																																																																																																																																																														
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																														
140	110	240	55	Petition to revive – unavoidable																																																																																																																																																																																																																																																														
141	1,240	241	620	Petition to revive – unintentional																																																																																																																																																																																																																																																														
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																																																																																														
143	440	243	220	Design issue fee																																																																																																																																																																																																																																																														
144	600	244	300	Plant issue fee																																																																																																																																																																																																																																																														
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																														
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																														
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																														
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																														
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																														
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																														
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																														
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																														
Other fee (specify) _____																																																																																																																																																																																																																																																																		
* Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3) (\$445.00)</b>																																																																																																																																																																																																																																																													
<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20** =</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>16</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>- 3** =</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>135.00</td> <td>135</td> </tr> </tbody> </table> <p>3. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>355.00</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (1) (\$355.00)</b></p> <p>4. SUBTOTAL (2) (\$135.00)</p> <p>**or number previously paid, if greater; For Reissues, see above</p>								Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid	16	0	0	0	0	Independent Claims	- 3** =	0	0	0	Multiple Dependent			135.00	135	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	355.00	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee																																																																																																																																																																																																				
Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																																																																														
16	0	0	0	0																																																																																																																																																																																																																																																														
Independent Claims	- 3** =	0	0	0																																																																																																																																																																																																																																																														
Multiple Dependent			135.00	135																																																																																																																																																																																																																																																														
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																													
101	710	201	355	Utility filing fee	355.00																																																																																																																																																																																																																																																													
106	320	206	160	Design filing fee																																																																																																																																																																																																																																																														
107	490	207	245	Plant filing fee																																																																																																																																																																																																																																																														
108	710	208	355	Reissue filing fee																																																																																																																																																																																																																																																														
114	150	214	75	Provisional filing fee																																																																																																																																																																																																																																																														

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Albert P Halluin, Viola T Kung	Registration No. (Attorney/Agent)	25,227, 41,131	Telephone	650-463-8109
Signature				Date	June 19, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.